

HARRIS COUNTY, TEXAS

COMMUNITY SERVICES DEPARTMENT

ZAB23911

David B. Turkel Director **Daphne Lemelle** Community Development Director Office of Housing & Community Development

8410 Lantern Point Drive Houston, Texas 77054 Tel (713) 578-2000 Fax (713) 578-2090

August 3, 2009

County Judge Emmett and Commissioners Lee, Garcia, Radack and Eversole

Agenda Letter

Please consider the following item on the Commissioners Court Agenda for August 11, 2009:

- Approval to accept an award from the Department of Housing and Urban Development (HUD) Community Development Block Grant Recovery (CDBG-R) funds in the amount of \$2,919,475.00 to carry out CDBG-R eligible activities.
- The authorization of the Director of Community Services or his designee to execute an agreement with HUD for said funds.

Thank you for your assistance with this request.

		Sincerely,	Andrews and the second section of the second
Vote of the Court: Yes	lo <u>Abs</u> tain√		hel
Judge Emmett Comm. Lee Comm. Garcia		David B. Turkel, Community Servi	
Comm. Radack Comm. Eversule		DBT/DL/lc/mgb/l	•
		Attachment	

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WANAGEMENT SERVICES Presented to Commissioner's Court

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HARRIS CUUNTY, TEXAS

Office of Budget Management 1310 Prairie, Suite 530

Houston, Texas 77002

(713) 755-3301

Grants Coordination Section - Conveyance Form

Application

Award

Department Name / Numb	er	DUNs	Grant Title			
COMMUNITY SERVICES DEPA	RTMENT - 289	072206378	CDBG-R Recovery & Reinvestment			
Funding Source: U.S. Department of Housing & Urban Development: CFDA# 14.253		Grant Agency: U.S. Department of Housing & Urban Development				
Program Year:	1 st		Program Ending:			
Grant Begin Date:	06/04/2009)	Grant End Date:	09/30/2012		
Grant Org. Key:			If applicable, Prior Year Org. Key:	N/A		
Grant Description:						

The Community Development Block Grant Entitlement/Recovery Program (CDBG-R) funds are authorized by the American Recovery and Reinvestment Act of 2009. The CDBG-R grantees are responsible for ensuring that all projects meet 1 of 3 national objectives: 1) benefit to low and moderate income persons, 2) aid in prevention of slums or blight or 3) meet an urgent need. Additionally, the Recovery Act encourages communities to select projects that stimulate the economy, modernize infrastructure, improve energy efficiency, and expand educational opportunities and access to healthcare.

	Total Budget	Grant Funded	County Funded		
Salary & Benefits	\$ 291,947.50	\$ 291,947.50	\$	0.00	
Non - Labor	\$ 2,627,527.50	\$ 2,627,527.50	\$	0.00	
Sub Tot. Incremental Cost	\$ 2,919,475.00	\$ 2,919,475.00	\$	0.00	
Indirect Cost	\$ 0.00	\$ 0.00	\$	0.00 *	
TOTALS	\$ 2,919,475.00	\$ 2,919,475.00	\$	0.00	

under development

Full Time Equivalent Positions % of Positions Paid by Grant

1.40 100.00%

Date Grant Guidelines are Available **Grant Submittal Deadline Date**

05/05/2009 06/08/2009

GCS Discussion:

This award relates to an application that was approved by Commissioners Court on 5/19/09 in the amount of \$2,919,475. These funds are to be spent according to the amended 2008 Annual Action Plan also approved by Commissioners Court on 5/19/09. These funds will be used to implement three (3) shovel ready infrastructure improvement projects that have been selected from proposals submitted during the Community Services Department's FY 2009 RFP process. Preliminary surveys and environmental reviews have been completed for these projects. There is \$291,947.50 allowed for administrative costs which will be budgeted for salaries during the three year period of the grant. No match is required.

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\$5		 		*******
\$4 /				
\$3 /				
\$2				
\$1				
\$0 2010	2011	2012	2013	2014

Van Dyke, Tom Completed by: Reviewed by:

County Auditor's Form 1290 Harris County, Texas (02/03) Required Match per Grant

Discretionary Cash Match

Harris County Community Services Department

Executive Summary

Proposal to Provide Services

August 11, 2009

On May 19, 2009, Commissioners Court approved Harris County's substantial amendment (Amendment) 2008 Annual Action Plan as application to the American Recovery and Reinvestment Act (ARRA) Community Development Block Grant Recovery (CDBG-R) funds.

The Department of Housing and Urban Development (HUD) approved Harris County's Amendment on July 31, 2009. With this approval, Harris County is authorized to receive \$2,919,475.00 in CDBG-R funds. The funds will be used to carry out three shovel-ready infrastructure projects in Precinct 2 that will serve the community and create jobs.

It is recommended that Commissioners Court approve the acceptance of the CDBG-R award and authorize the Director of Community Services or his designee to execute the Grant Agreements with the U.S. Department of Housing and Urban Development.

THE STATE OF TEXAS COUNTY OF HARRIS

	AUG 11	2009
On this, the	day of	, 2009, the
Commissioners' Court of H	Iarris County, Texas, sitti	ng as the Governing body of
		on motion of Commissioner
, seconde	ed by Commissioner	Lecce duly put and
unanimously carried;		

IT IS ORDERED that David Turkel, Director of Community Services

Department or his designated representative is authorized to accept funds from and enter into an agreement with the Department of Housing and Urban Development for the Community Development Block Grant Recovery Program in the amount of \$2,919,475 for and on behalf of Harris County, Texas for the grant period June 4, 2009 to September 30, 2012.

Vote of the Court:	Yes	No	Abstain
Judge Emmett			⊨
Comm. Lee	1		-
Comm. Garcia	1	-	
Comm. Radack		-	
Comm. Evercole	_		

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U.S. Department of Housing and Urban Development Houston Field Office, Region VI Office of Field Policy Management 1301 Fannin, Suite 2200 Houston, Texas 77002 (713) 718-3199 - FAX (713) 718-3251 www.hud.gov

Honorable Ed Emmett County Judge of Harris County 1001 Preston, Room 911 Houston, TX 77002

Dear Judge Emmett:

P&D

Subject: CDBG - R Grant Agreements

JUL 31 2009

The American Recovery and Reinvestment Act of 2009 (Recovery Act), signed into law on February 17, 2009, appropriated \$1 billion in CDBG funds to states and local governments to carry out, on an expedited basis, eligible activities under the CDBG program. The Recovery Act is an unprecedented effort to jumpstart the American economy, save and create millions of jobs, and address long-neglected challenges so our country can thrive in the 21st century. The CDBG program is a key component in the state and local efforts to stabilize neighborhoods and create

PH4:11

The Department is pleased to announce that the Substantial Amendment to your jurisdiction's Fiscal Year 2008 Action Plan has been approved. Harris County has been authorized to receive \$2,919,475 in Community Development Block Grant Recovery (CDBG-R) Program funding. Please note that all CDBG-R funds must be spent not later than September 30, 2012. Please be advised that HUD establishes a cut-off date for submission of draw requests several days before then end of a fiscal year because of systems and disbursing related issues. You will be notified of the expenditure cut-off date for the CDBG-R grant funds in 2012.

Enclosed are three copies of the Grant Agreement (including the Funding Approval (Form HUD-7082- and Special Conditions) between the U.S. Department of Housing and Urban Development (HUD) and the Harris County for the CDBG-R Program.

In order to establish a Line of Credit for your CDBG-R grant, it will be necessary to execute and return two copies of the Grant Agreement, retaining one copy for your files. Failure to execute and return the grant agreements within 30 days of the transmittal date may be deemed to constitute rejection of the grant and cause for HUD to determine that the funds are available for reallocation to other grantees.

If there is a need to delete or add individuals authorized to access the Integrated Disbursement and Information System (IDIS), an IDIS Security Access Authorization Form (February 1999) must be prepared, notarized, and returned to this office with the Grant Agreement. Forms are enclosed. Program progress must be recorded in IDIS on a regular basis. HUD will use this system to obtain beneficiary date and monitor Harris County's program implementation and performance.

If there is need to establish or change the depository account to which these grant funds are to be wired, a Direct Deposit Sign-Up Form (SF-1199A) must be completed by you and your financial institution and mailed to this office.

Grantees are reminded that the Recovery Act was enacted to provide an immediate stimulus to the economy. The quarterly reporting requirements discussed below are one manifestation of the need for all grantees to demonstrate how CDBG-R funds are being put to immediate use, to stimulate the economy as well as to produce long-term improvements. Another reason that recovery Act funds have been provided to states and local governments is the recognition that states and local governments are suffering from serious budgetary constraints as a result of simultaneous revenue shortfalls and increased demand for services. The current practice by many grantees - to meet immediate cash needs by paying outstanding obligations for CDBG activities with local funds, and then to obtain reimbursement from their Line of Credit on a semi-annual or yearly basis - works against governments' fiscal constraints as well as the transparency provisions of the Recovery Act. HUD strongly encourages grantees to examine their current funds drawdown practices for HUD formula grant funding, and to make adjustments as necessary to ensure that CDBG-R funds are drawn down on a regular basis. HUD expects that grantees will draw down CDBG-R funds on at least a quarterly basis, assuming the existence of actual cash needs for CDBG-R activities, and consistent with federal financial management regulations.

Certain activities are subject to the provisions of 24 CFR Part 58, Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities. Funds for such activities may not be obligated or expended unless the release of funds has been approved in writing by HUD. A request for release of funds must be accompanied by an environmental certification. Appropriate forms are enclosed.

The Recovery Act requires that all State and local governments receiving Recovery Act funds report on the status of the environmental compliance reviews for all of their Recovery Act funded activities. HUD grantees are required to go to: http://portal.hud.gov/app_ramps/ and complete the information for all Recovery Act funded activities. Information should be entered when an activity's environmental compliance review is started and when it is completed. The reporting requirement will continue throughout the implementation period of activity.

You are also reminded that the Recovery Act requires timely and accurate reporting of the CDBG-R grant in IDIS. Section 1512 of the Recovery Act requires that no later than 10 days after the end of each calendar quarter, each grantee is required to comply with quarterly reporting requirements regarding: (1) the total amount of recovery funds received; (2) the amount of recovery funds received that were expended or obligated to project activities; and (3) a detailed list of all projects or activities for which recovery funds were expended or obligated, including the name of the project or activity; a description of the project or activity; an evaluation of the completion status of the project or activity; an estimate of the number of jobs created and number of jobs retained by the project or activity; and for infrastructure investments made, the purpose, total cost and rationale of the agency for funding infrastructure investment with funds made

available under the Recovery Act and name of person to contact at the jurisdiction, if there are concerns with the infrastructure investment.

At this time, HUD intends that grantees will enter this data into IDIS, so that HUD can automatically generate quarterly reports. However, the Office of Management and Budget has not finalized the government-wide reporting requirements for Recovery Act funds, and may not do so until mid-August. HUD will provide guidance on specific reporting requirements at a later date. The necessary changes to IDIS to accommodate CDBG-R reporting requirements have not been fully implemented yet. In the meantime, please make sure you are collecting all necessary data, both from grantee sources and from all sub recipients and contractors, as it may be necessary for you to backfill this data into IDIS at a later date once system changes have been made.

Section 1605 of the Recovery Act requires grantees to comply with provisions of the Buy American Act for all CDBG-R assisted public buildings and public works. HUD is in the process of developing further implementation guidance for grantees regarding compliance with this provision and the process for requesting a waiver of these provisions.

Section 1604 of the Recovery Act contained language that significantly expanded the applicability of Davis-Bacon Act labor standards provisions to CDBG-R funded construction work. Please be advised that Section 1205 of the Supplemental Appropriations Act signed by President Obama on June 24, 2009, repealed the applicability of Section 1604 of the Recovery Act to CDBG-R activities. All CDBG-R funded construction activities are now subject to the same labor standard provisions that apply to regular (annual formula) CDBG funding, pursuant to Section 110 of the Housing and Community Development Act of 1974, as amended.

Grantees are reminded that all first-tier sub recipients and contractors, as well as the grantee itself, are required to have or obtain a DUNS number, and to register with the Central Contractor Registration (CCR). Registration information for the Central Contractor Registry can be found at: http://www.ccr.gov/startregistration.aspx DUNS number registration information can be obtained at: http://www.grants.gov/applciants/request duns number.jsp Grantees are reminded that they must include their DUNS number in box 2 of the Form HUD-7082 grant agreement in order for HUD's Chief Financial Officer staff to process the grant agreement and provide access to the CDBG-R funds via IDIS. CDBG-R grant agreements that do not have a DUNS number will not be processed until a DUNS number is supplied to the HUD field office by the grantee. The same requirements apply to provision of the grantee's tax identification number which is to be specified in box 3 of the HUD-7082.

Please return two copies of the executed grant agreement to this office at the following address:

Ms. Sandra H. Warren Director, Community Planning and Development U.S. Department of Housing and Urban Development 1301 Fannin, Suite 2200 Houston, TX 77002 If you have any questions or require assistance, please contact Sandra H. Warren, Director of Community Planning and Development at 713-718-3115. We look forward to working with you and your staff throughout the coming year.

Sincerely,

Edward V. Pringle, Sr. Ph.D. Houston Field Office Director

Funding Approval/Agreement
Title I of the Housing and Community
Development Act (Public Law 930383)

U.S. Department of Housing and Urban Development Office of Community Planning and Development Community Development Block Grant Program

HI-00515R of 20515R								
1. Name of Grantee (as shown in item 5 of Standard Form 424)			3. Grantee's 9-digit Tax ID Number 4. Date use of funds may be			of funds may begin	1	
Harris County			760454514			(mm/dd/yyyy) 06-04-2009		
2. Grantee's Complete Address (as shown in item 5 of Standard Form 424)			5a. Project/Grant No. 1			6a. Amount Approved		
8410 Lantern Point Drive				JY-48-0002		\$2,91	9,475	
Houston, Texas 77054			5b. Project/Grant No. 2 6b. Amount Approved					
869/00161								
DUNS: 072206378			5c. Project/0	Grant No. 3		6c. Amoun	t Approved	
Grant Agreement: This Grant Agreement between the De authority of Title I of the Housing and Community Develop HUD regulations at 24 CFR Part 570 (as now in effect a constitute part of the Agreement. Subject to the provisions execution of the Agreement by the parties. The funding as 4 above provided the activities to which such costs are related funding assistance specified here unless they are authorized The Grantee agrees to assume all of the responsibilities for Secretary pursuant to Section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes sub-recipient entities to which it makes funding assistance in the second section 104(g) of Title I and publishes the second section 104(g) of Title I and publishes the second section 104(g) of Title I and publishes the second section 104(g) of Title I and publishes the second section 104(g) of Title I and publishes the second section 104(g) of Title I and publishes the s	oment Act of 19 nd as may be a of this Grant A sistance specific ed are carried o d in HUD regu environmental d in 24 CFR Pa	774, as amended amended from 1 greement, HUD ed in the Fundin ut in complianc lations or approreview, decision t 58. The Gran	, (42 USC 53 ime to time), will make the g Approval ne e with all appoved by waive n making, an tee further ac	01 et seq.). The Gran, and this Funding Age funding assistance snay be used to pay colicable requirements. Er and listed in the spd actions, as specified knowledges its responsant the sport of the spo	tee's sub pproval, pecified sts incur Pre-agn ecial cor d and rec	emissions for including here availated after the eement cost inditions to	or Title I assistance any special condition ble to the Grantee e date specified in ts may not be paid the Funding Appropriations issued by	e, the tions, upon item with roval.
U.S. Department of Housing and Urban Development (By Name)				ne - Harris County				
Sandra H. Warren				ounty - Ed Emme	et			· · · · · · · · · · · · · · · · · · ·
Director, Community Planning and Developm	nont.		Title	er Yandana				
Signature	Date (mm/dd/y	2024		y Judge		· · · · · · · · · · · · · · · · · · ·	Data ((446)	
Bute Hilliam	07/31	2009	Signature Emmette		7.		Date (mm/dd/yyyy)	_
7. Category of Title I Assistance for this Funding Action	8. Special Con			ate HUD Received Subri	ilecion	10. check o		109
(check only one)	(check one)		(mm/dd/yyyy) 06-04-2009				Orig. Funding	
a. Entitlement, Sec 106(b)	None		9b. Date Grantee Notified		02	Approval		
b. State-Administered, Sec 106(d)(1)		ed .	(mm/dd/yyyy)				Amendment	
c. HUD-Administered Small Cities, Sec 106(d)(2)(B)	_		9c. Date of Start of Program Year				Amendment Number	
d. Indian CDBG Programs, Sec 106(a)(1)				n/dd/yyyy)	~_			
e. Surplus Urban Renewal Funds, Sec 112(b)	11. Amount of	Community Deve		7777				
f. Special Purpose Grants, Sec 107	Block Gra	nt	Ì	FY (09)	FY()	FY()	
g. Loan Guarantee, Sec 108	a. Funds I	Reserved for this	Grantee	\$2,919,475				
	b. Funds now being Approx		ed				_	
	c. Reservation to be Cance			\$2,919,475				
	(11a mi	inus 11b)	liea					
12a. Amount of Loan Guarantee Commitment now being Approved	1		complete Add	ress of Public Agency	<u> </u>			
Loan Guarantee Acceptance Provisions for Designated A The public agency hereby accepts the Grant Agreement ex Department of Housing and Urban Development on the ab respect to the above grant number(s) as Grantee designal loan guarantee assistance, and agrees to comply with the conditions of the Agreement, applicable regulations requirements of HUD now or hereafter in effect, pertiassistance provided it.	12c. Name of A Title Signature	wthorized Offic	ial for Designated Publi	c Agency				
HUD Accounting use Only		-				F	ffective Date	
Batch TAC Program Y A Reg Area Do	cument No.	Project Number	Catego	pry Amount	Ì		mm/dd/yyyy)	F
┕┷┵┴╁┙ ┌┧	r	Project Number		Amount	<u> </u>			
Ÿ	<u> </u>	Project Number	!	Amouni	:			
Π				741100111				
Date Entered PAS (mm/dd/yyyy) Date Entered LOCCS (mm/d	d/yyyy) Baich	Number	Transaction	on Code En	tered By	 	Verified By	

SPECIAL CONDITIONS TO THE GRANT AGREEMENT FOR COMMUNITY DEVELOPMENT BLOCK GRANT RECOVERY (CDBG-R) FUNDS AUTHORIZED AND APPROPRIATED UNDER THE AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009

(PUBLIC LAW 111-5, FEBRUARY 17, 2009)

CDBG-R GRANTEE:

Harris County

CDBG-R GRANT NUMBER:

B-09-UY-48-0002

CDBG-R GRANT AMOUNT:

\$2,919,475

CDBG-R APPROVAL DATE:

July 31, 2009

Special Conditions:

The terms of the Grant Agreement include the following special conditions:

- 1. The Grant Agreement between the U.S. Department of Housing and Urban Development (HUD) and the above named Grantee, is made pursuant to the authority of Title XII of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) (the Recovery Act). The Grantee acknowledges that the CDBG-R grant is one-time funding.
- 2. The Grant Agreement is governed by and the Grantee shall comply with the requirements of the Recovery Act; the Notice of Program Requirements for Community Development Block Grant Program Funding Under the American Recovery and Reinvestment Act of 2009, 74 Fed. Reg. 21816 (May 11, 2009) available at http://www.hud.gov/recovery/cdblock.cfm (as now in effect and as may be amended from time to time) (the Notice); Title I of the Housing and Community Development Act of 1974, as amended (42 USC 5301 et seq.) (as modified by the Notice); and, the HUD regulations at 24 CFR part 570 (as now in effect and as may be amended from time to time) as modified by the Notice (the Regulations). The Grantee's submissions, the Notice, the Funding Approval/Agreement (form HUD-7082) and the special conditions described herein are incorporated by reference and constitute part of the Grant Agreement. Submissions include the CDBG-R action plan substantial amendment, including the certifications and assurances and any information or documentation required to meet any grant award conditions. In the event of conflict between a provision of the Grantee's submissions and any other provision of this Grant Agreement document, the latter shall control.
- 3. The Grantee shall comply with governmentwide guidance and standard award terms established by the Office of Management and Budget (OMB) concerning the implementation of the Recovery Act, including Requirements for Implementing Sections 1512, 1605, and 1606 of the American Recovery and Reinvestment Act of 2009 for Financial Assistance Awards, 74 Fed. Reg. 18449 (April 23, 2009) (to be codified at 2 CFR Part 176) (as now in effect and as may be amended from time to time). Notwithstanding the foregoing, the

- Grantee shall comply with Section 110 of the CDBG Statute concerning the Davis-Bacon Act. The Grantee shall comply with reporting requirements established by HUD and OMB (including all revisions to such reporting requirements), as well as Sections 1511, 1515, and 1553 of the Recovery Act (including implementing guidance).
- 4. The Grantee shall at all times maintain an up-to-date copy of its Grantee Submission, including all amendments approved by HUD, on its Internet website as required by the Notice. The Grantee shall maintain information on all drawdowns, deposits, and expenditures of grant funds and program income under this Grant Agreement and any other records required by applicable law, in its files, and shall make such information available for audit or inspection by duly authorized representatives of HUD, HUD's Office of the Inspector General, the Recovery Act Transparency Board, or the Comptroller General of the United States.
- 5. In addition to other lawful remedies, HUD reserves the right to restrict access to grantees' CDBG-R funds for delinquent, incomplete, or inaccurate reporting. This includes the right to suspend access to the Integrated Disbursement and Information System (IDIS) should the Grantee fail to comply with quarterly CDBG-R reporting requirements.
- 6. The Grantee may take advantage of the pre-award costs provisions at 24 CFR 570.200(h) to incur pre-award costs associated with the development of the substantial amendment to the action plan beginning May 5, 2009. The Grantee may also incur costs prior to the grant award for specific activities as of the date the CDBG-R action plan substantial amendment was submitted to HUD.
- 7. The Grantee is advised that providing false, fictitious or misleading information with respect to CDBG-R funds may result in criminal, civil or administrative prosecution under 18 U.S.C. § 1001, 18 U.S.C. § 1343, 31 U.S.C. § 3729, 31 U.S.C. § 3801 or another applicable statute. The Grantee shall promptly refer to HUD's Office of the Inspector General any credible evidence that a principal, employee, agent, contractor, sub-grantee, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving CDBG-R funds.
 - 8. In any contract involving the use of CDBG-R funds, the Grantee shall include, and require its subrecipients and contractors to include, a project sign provision consistent with criteria established by the Secretary.
 - 9. The Grantee shall have until September 30, 2012, to expend the entire CDBG-R Grant Amount. CDBG-R funds not expended by September 30, 2012, will be recaptured by HUD.
 - 10. The Grantee shall extend all applicable terms and conditions of this grant award to subrecipients and contractors, including obtaining a DUNS number (or updating the existing DUNS record), and registering with the Central Contractor Registration (CCR).
 - 11. The Grant Agreement may be amended in writing by HUD. In considering proposed amendments to this Grant Agreement, HUD shall review, among other things, whether the amendment is otherwise consistent with the Recovery Act, the Housing and Community Development Act, the Notice and the Regulations.